

HAND  
DELIVERED

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2018 FINANCIAL DISCLOSURE STATEMENT**

For Use by Members, Officers, and Employees

Form A

2019 JUN Office Use Only

Name: GEORGE T (Mike) KELLY, JR Daytime Telephone (202) 225-5406

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>PA</u>	Officer or Employee	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input checked="" type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

**IPO** – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

**TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

**EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

MC

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: GEORGE J (Mike) KELLY, JR

Page 2 of 21

BLOCK A												BLOCK B												BLOCK C												BLOCK D												BLOCK E											
<b>Assets and/or Income Sources</b>												<b>Value of Asset</b>												<b>Type of Income</b>												<b>Amount of Income</b>												<b>Transaction</b>											
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p>																								<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be “None.”</p> <p>Column M is for assets held by your spouse or dependent child in which you have no interest.</p>																																			
<p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the totals over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g. “rental property,” and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p><b>Exclude:</b> Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-treed fund that is an Exempted Investment Fund, please check the “EF” box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (J), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>																								<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the “Tax-Deferred” category of income by checking the appropriate box below.</p> <p>Column, Dividends, interest, and capital gains, even dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if the asset generated no income during the reporting period.</p>												<p>For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets indicate the type of income generated by checking the appropriate box below.</p> <p>Dividends, interest, and capital gains, even dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if no income was earned or generated in the reporting period.</p>												<p>Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>											
A	B	C	D	E	F	G	H	I	J	K	L	M	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P	S	I(part)	P, S, I(part), or E																															
SP, DC, Examples:	SP	Mega Corp. Stock	EEF																																																								
		Simon & Schuster																																																									
		ABC Hedge Fund	X																																																								
<b>SCHEDULE A ATTACHED</b>																																																											

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

3/21  
Name: George J. (Mike) Kelly

SP, DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset								BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A	B	C	D	E	F	G	H			
SP	Kelly Automotive, Automotive Dealership, Butler, PA											
SP	Note Receivable - Claddagh Properties LP - real estate - Pittsburgh, Road, Butler County, PA											
SP	Note Receivable - Kelly Automotive, Butler, PA											
SP	Land 12.67 acres, Route 8, Butler County, PA											
PT	PNC Bank Accounts											
	Part FCU bank account											
SP	PC Exploration LTD Pfr 2008, Warrendale, PA											
SP	PC Exploration LTD Pfr 2008, Warrendale, PA											
SP	PC Exploration LTD Pfr 2009, Warrendale, PA											
SP	PC Exploration LTD Pfr 2010, Warrendale, PA											
SP	NTS 2013 Erwin LP, Indiana, PA, Oil & Gas											
	401(k) investments as follows:											
	American Funds EuroPacific Gr R3	X										
	American Funds Invest Co of America R3	X										
	American Funds Washington Mutual R3	X										
	American Funds Bond Fund of America R3	X										
	American Funds 2025 Target Date Fund R3	X										
	Putnam Retirement Advantage 2020 I											
SP	IRA as follows:											
SP	Powershards Exch Traded Fd TSTII											
SP	Vanguard Bd Index Fd Inc											
	IRA - Athene Annuity and Life (variable annuity) - S&P500 @ Annual PTP Cap Activity											
	General Motors Stock											
SP	401(k) Profit sharing plan											
SP	American funds 2015 target date fund R3	X										
SP	American funds 2025 target date fund R3	X										
SP	Putnam Retirement Advantage 2020 I											
	BN&MS											
SP	PNC Money Market fund #405											
SP	Anchorage AK Elec Utility Revv Sl Lien-SE Rev - 4 % due 12/1/19											
SP	Arlington Cty VA Ser A Go Bonds - 5% due 8/1/21											
SP	Boston MA Series B GO Cal 2/1/23											
SP	Broward City FL Port Facs Rev Bond 5% due 9/1/24											
SP	California St GO Cal 12/1/04/7											
SP	Chicago IL O'Hare International Bond - 5% due 1/1/21											
SP	Connecticut State Health & Educ Bond - 5% due 7/1/24											
SP	Denver City & Cnty CO Airport Sys Ser A Rec - 5% due 1/15/23											

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

Name: George J. (Mike) Kelly

SP, DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset								BLOCK C Type of income	BLOCK D Amount of income	BLOCK E Transaction
		A	B	C	D	E	F	G	H			
SP	Georgia St Ser C Go Bonds - 5% due 10/1/21									None		
SP	Illinois St Fin Auth Revenue	X								\$1-\$1,000		
SP	Illinois State Ser A - 5% due 7/1/25	X								\$1,001-\$15,000		
SP	Loudon City VA Ser A Bonds - 5% due 12/1/21	X								\$15,001-\$50,000		
SP	Lower Neches Vly TX Auth Indl	X								\$50,001-\$100,000		
SP	Maryland St 2nd S Go Coll - 5% due 8/1/21									\$100,001-\$250,000		
SP	Minnesota St Public Facs Auth Ser Bond - 5% due 3/1/19	X								\$250,001-\$500,000		
SP	Minnesota St St. Var Pup Ser A G - 5% due 8/1/19	X								\$500,001-\$1,000,000		
SP	Missouri St Hwy & Trans Comm Bonds - 5% due 2/1/19	X								\$1,000,001-\$5,000		
SP	North Carolina St Estm Muni P Ref Bonds - 5% due 1/1/21	X								\$5,000,001-\$25,000		
SP	North Carolina St Ser A Go - 5% due 6/1/22	X								\$25,000,001-\$50,000		
SP	New Jersey St turnpike auth ser a rev -5% due 1/1/27	X								Over \$50,000,000		
SP	Ohio St Common Sch G Bonds - 5% due 9/15/22	X								Spouse/DC Asset c		
SP	Pennsylvania St 1st Ser GO - 5% due 3/1/23	X										
SP	Piedmont SC Muni Pwr Agcy Elec Ser B Rev - 4% due 1/1/19	X										
SP	San Antonio TX Elect - Gas Ref Rev - 5% due 2/1/25	X										
SP	S Jersey NJ Transportation Auth - 5% due 1/1/21	X										
SP	Univ of Texas TS Permanent Uni Ser 1 - 5% due 7/1/23	X										
SP	Utah St Ser A GO Bonds - 5% due 7/1/20	X										
SP	Wisconsin St Hlth & Education Ascension Rev - 5% due 1/1/23	X										
SP	STOCKS											
SP	AbbVie Inc	X										
SP	Aetna Inc New (aet)	X										
SP	Agilent technologies	X										
SP	Alphabet Inc (a/c d a (google)) (Formerly Google)	X	X									
SP	Altria Group Inc (mo)	X	X									
SP	Amazon Com Inc	X	X									
SP	American Water Works Co	X	X									
SP	Amphenol Corp New	X	X									
SP	Amgen Inc (amgn)	X	X									
SP	Analog Devices Inc	X	X									
SP	Applied Materials Inc	X	X									
SP	Apple Inc (appl)	X	X									
SP	Archer Daniels Midland Co	X	X									
SP	Automatika Data Processing Inc	X	X									
SP	Autozone Inc	X	X									
SP	Bank of America corp	X	X									
SP	Bank New York Mellon Corp Common	X	X									
SP	Baxter International Inc	X	X									

4-1-21

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

Name: George J. (Mike) Kelly

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction	
				A	B	C	D	E	F	G	H	I	J	K	L	M	
SP	Berry Global Group (formerly Berry Plastics group)	X	None														S
SP	Biogen Inc		\$1-\$1,000														
SP	Boeing Co	X	\$1,001-\$15,000														
SP	Borg Warner Inc	X	\$15,001-\$50,000														
SP	Bristol Myers Squibb co	X	\$50,001-\$100,000														
SP	Burlington Stores Inc	X	\$100,001-\$250,000														
SP	CBRE Group Inc		\$250,001-\$500,000														
SP	CBS Corp Class B	X	\$500,001-\$1,000,000														
SP	Celanese Corp	X	\$1,000,001-\$5,000														
SP	Centene Corp	X	\$5,000,001-\$25,000														
SP	Chevron corporation	X	\$25,000,001-\$50,000														
SP	Cisco Systems Inc (cisco)	X	Over \$50,000,000														
SP	Citigroup		Spouse/DC Asset														
SP	Citizens Financial Group	X															
SP	Comcast Corporation CL A (comsA)	X															
SP	ConocoPhillips	X															
SP	Constellation Brands Inc (stz) cl a	X															
SP	Costco Wholesale corp	X															
SP	CSX Corp	X															
SP	Cummins Inc	X															
SP	Danaher Corp	X															
SP	Dollar General Corp	X															
SP	Dow Dupont Inc	X															
SP	Duke Energy Holding Corp	X															
SP	Eastman Chem Co	X															
SP	Fedex Corporation	X															
SP	Facebook	X															
SP	First Data Corp Class A	X															
SP	General Dynamics Corp (gd)	X															
SP	Halliburton Inc	X															
SP	Home Depot (hd)	X															
SP	Honeywell Int'l Inc (hon)	X															
SP	Illinois Tool Works Inc	X															
SP	Intel Corp	X															
SP	Johnson & Johnson (jnj)	X															
SP	JM Smucker Co/the new com wi	X															
SP	JP Morgan Chase & Co (jpm)	X															
SP	Kohls Corp	X															
SP	Lam Research Corp (lrcx)	X															

5921

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

Name: George J. (Mike) Kelly

SP, DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L				
SP	Lauder Estate Cos Inc	X												None	\$1-\$1,000	S	
SP	Lilly Eli & Co													X	\$1,001-\$15,000	P	
SP	Marriott International Inc CL A		X											X	\$15,001-\$50,000	SP	
SP	McCormick & Co Inc non voting			X										X	\$50,001-\$100,000	P	
SP	McDonalds Corp				X									X	\$100,001-\$250,000	SP	
SP	Microsoft Corp (msft)					X								X	\$250,001-\$500,000	P	
SP	Mohawk Inds Inc						X							X	\$500,001-\$1,000,000	SP	
SP	Morgan Stanley							X						X	\$1,000,001-\$5,000	S	
SP	Northfolk Southern Corp							X						X	\$5,000,001-\$25,000	P	
SP	Northrop Grumman Corp (nuc)							X						X	\$25,000,001-\$50,000	SP	
SP	Occidental Petroleum Corp							X						X	Over \$50,000,000	P	
SP	Parker Hannifin Corp		X												Spouse/DC Asset c 3	SP	
SP	PepsiCo Inc			X											None		
SP	Pfizer Inc (pfe)				X										Dividend		
SP	PNC Money Market Fund #405					X									Rent		
SP	Price T. Rowe Group Inc						X								Interest		
SP	Proctor & Gamble Co						X								X Capital Gains		
SP	Protégis Inc							X							Deferred Income account		
SP	Raytheon Co								X						Excepted Blind Trust		
SP	Royal Caribbean Cruises Ltd		X												Other Type of Income		
SP	Royal Dutch Shell Inc			X											(Specify)		
SP	S&P Global Inc				X										None		
SP	Sherwin Williams co					X									\$1-\$200		
SP	Stanley Black & Decker			X											\$201-\$1,000		
SP	State Street Corp				X										\$1,001-\$2,500		
SP	Striker Corp			X											\$2,501-\$5,000		
SP	Suncor Energy Inc				X										\$5,001-\$15,000		
SP	Suntrust Banks Inc					X									\$15,001-\$50,000		
SP	T-Mobile US Inc						X								\$50,001-\$100,000		
SP	TE Connectivity Ltd							X							\$100,001-\$1,000,000		
SP	Texas Instruments Inc (txi)		X						X						\$1,000,001-\$5,000		
SP	Thermo Fisher Scientific Inc (tmo)			X						X					Over \$5,000,000		
SP	Total SA (tot)				X						X				Spouse/DC Income		
SP	Transcanada Corp					X											
SP	Tyson Foods Inc CL A		X							X							
SP	United Health Group			X							X						
SP	United Rentals				X							X					
SP	United Technologies Corp					X							X				
SP	US Foods Holding Corp			X								X					

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

Name: George J. (Mike) Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset					BLOCK C Type of Income	BLOCK D Amount of Income										BLOCK E Transaction P, S, E		
		A	B	C	D	E		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
SP	Visa Inc (v)						None													
SP	Waste Management Inc						\$1-\$1,000													
SP	WEC Energy Group Inc						X													
SP	WellCare Health Plans Inc							X												
SP	Wyndham Worldwide Corp (wyn)							X												
<i>MUTUAL FUNDS</i>																				
SP	AQR Equity Market Neutral																			
SP	Artisans Fds Inc (artix) International Fund #861 Inv Class						X													
SP	BlackRock Strategic Municipal OP						X													
SP	Carlyle Group LP						X													
SP	Diamond Hill Long Short Fund (dhlzx) Cl I						X													
SP	Dodge & Cox International (dodfx) Stock Fund #1048						X													
SP	Disney Walt Co (dis)						X													
SP	Eaton Vance Global Macro Absolut						X													
SP	Goldman Sachs Absolute (gslfx) Return Tracker Fund Cl I Fund #3279	X																		
SP	Harbor International Fund (hainx) Class Ins Fd #2011	X																		
SP	Hartford Loewner Emerging Markets Portfolio Fund							X												
SP	Iazard Global Listed Infrastructure Fund #1243	X						X												
SP	Movant Sciences Ltd							X												
SP	PNC Money Market Fund #405							X												
SP	SPDR Nuveen Barclays Short Term Municipal Bond ETF	X						X												
SP	T Rowe Price Growth Stock (prgx) Fd #40							X												
SP	T Rowe Price Value Fund Inc (trvix) Fd #107							X												
SP	Vanguard Growth (vug) ETF							X												
SP	Vanguard Mid Cap Growth Index (vot) ETF							X												
SP	Vanguard Mid Cap Value Index (voe)							X												
SP	Vanguard Mid Cap (vo) ETF		X					X												
SP	Vanguard Small Cap (vb)		X					X												
SP	Vanguard Small Cap Growth (vbk) ETF		X					X												
SP	Vanguard Small Cap Value (vbr) ETF		X					X												
SP	Vanguard Value (vty) ETF		X					X												

## SCHEDULE B – TRANSACTIONS

Name: GEORGE T (MIKE) KELLY JR Page 9 of 21

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

SP, DC, JT	Asset

Use additional sheets if more space is required.

## SCHEDULE B - TRANSACTIONS

Name: George J. (Mike) Kelly

9121

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
JT														
SP	Baxter International Inc	X	1/4/2018	X	\$1 - \$1,000									
SP	Marriott International Inc	X	1/4/2018	X	\$1,001-\$15,000									
SP	Occidental Petroleum Corp	X	1/19/2018	X	\$15,001-\$50,000									
SP	Agilent Technologies	X	1/4/2018	X	\$50,001-\$100,000									
SP	Thermo Fisher Scientific Inc	X	1/4/2018	X	\$100,001-\$250,000									
SP	Wyndham Worldwide Corp	X	1/4/2018	X	\$250,001-\$500,000									
SP	Wyndham Worldwide Corp	X	1/5/2018	X	\$500,001-\$1,000,000									
SP	Amazon Com Inc	X	2/2/2018	X	\$1,000,001-\$5,000,000									
SP	CBRE Group Inc	X	2/23/2018	X	\$5,000,001-\$25,000,000									
SP	CBRE Group Inc	X	2/26/2018	X	\$25,000,001-\$50,000,000									
SP	CBRE Group Inc	X	2/27/2018	X	Over \$50,000,00									
SP	CBRE Group Inc	X	2/28/2018	X	Over \$1,000,000 (spouse/DC Asset)									
SP	JM Smucker Co New	X	2/23/2018	X										
SP	JM Smucker Co New	X	2/26/2018	X										
SP	JM Smucker Co New	X	2/27/2018	X										
SP	CBS Corp Class B	X	2/2/2018	X										
SP	Home Depot Inc	X	2/2/2018	X										
SP	Mohawk Industries Inc	X	2/2/2018	X										
SP	PepsiCo Inc	X	2/23/2018	X										
SP	Prologis Inc	X	2/26/2018	X										
SP	Prologis Inc	X	2/23/2018	X										
SP	Minnesota St Public Facs Auth Sln c due 3/1/19	X	3/5/2018	X										
SP	S Jersey NJ Transportation Auth	X	3/16/2018	X										

## SCHEDULE B - TRANSACTIONS

Name: George J. (Mike) Kelly

10421

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC, JT	PURCHASE													
SP Sherwin-Williams Co	SALE	3/2/2018	X											
SP Stanley Black & Decker		3/19/2018	X											
SP Eastman Chem Co		3/2/2018	X											
SP Eastman Chem Co		3/5/2018	X											
SP Eastman Chem Co		3/6/2018	X											
SP Waste Management Inc		3/9/2018	X											
SP Harding Iowner Emerging Markets		4/7/2018												
SP Lazard Global Listed Infrastructure Fund		4/18/2018												
SP Aetna Inc		4/26/2018	X											
SP Illinois Tool Works Inc		4/27/2018	X											
SP Mohawk Industries Inc		4/5/2018	X											
SP Centene Corp		4/26/2018	X											
SP Centene Corp		4/27/2018	X											
SP Cisco Systems		4/26/2018	X											
SP Kohls Corp		4/5/2018	X											
SP Kohls Corp		4/6/2018	X											
SP Waste Management Inc		4/26/2018	X											
SP Illinois Tool Works Inc		4/26/2018	X											
SP Minnesota ST Public Facs Auth Ser due 3/1/19		5/17/2018	X											
SP Missouri ST Hwys & Trans Comm		5/24/2018	X											
SP Piedmont SC Muni Pwr Agy Elect		5/24/2018	X											
SP Pennsylvania ST 1st Ser GO		5/16/2018	X											
Check Box If Capital Gain Exceeded \$200														
Date														
\$1 - \$1,000														
\$1,001-\$15,000														
\$15,001-\$50,000														
\$50,001-\$100,000														
\$100,001-\$250,000														
\$250,001-\$500,000														
\$500,001-\$1,000,000														
\$1,000,001-\$5,000,000														
\$5,000,001-\$25,000,000														
\$25,000,001-\$50,000,000														
Over \$50,000,00														
Over \$1,000,000 (Spouse/DC Asset)														

## SCHEDULE B - TRANSACTIONS

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,														
JT														
SP Univ of Texas TX Permanent Un 1	PURCHASE	5/25/2018	\$1 - \$1,000											
SP Lower Neches Vly TX Auth Indl	SALE	5/4/2018	\$1,001-\$15,000											
SP Royal Caribbean Cruises Ltd	EXCHANGE	5/4/2018	\$15,001-\$50,000											
SP Altria Group Inc		5/4/2018	\$50,001-\$100,000											
SP Analog Devices Inc		5/23/2018	\$100,001-\$250,000											
SP Berry Global Group Inc		5/15/2018	\$250,001-\$500,000											
SP Berry Global Group Inc		5/16/2018	\$500,001-\$1,000,000											
SP Comcast Corp CLA		5/4/2018	\$1,000,001-\$5,000,000											
SP Honeywell Intl Inc		5/15/2018	\$5,000,001-\$25,000,000											
SP Amazon Com Inc		5/4/2018	\$25,000,001-\$50,000,000											
SP Automatic Data Processing Inc		5/23/2018	Over \$50,000,00											
SP Automatic Data Processing Inc		5/24/2018	Over \$1,000,000 (Spouse/DC Asset)											
SP Automatic Data Processing Inc		5/25/2018												
SP Celanese Corp Series A		5/15/2018												
SP Celanese Corp Series A		5/16/2018												
SP Fed Ex Corporation		5/15/2018												
SP Agilent Technologies		5/15/2018												
SP Cummins Inc		7/2/2018												
SP Cummins Inc		7/3/2018												
SP Halliburton Co		6/13/2018												
SP J.M Smucker Co New		6/14/2018												
SP J.M Smucker Co New		6/15/2018												
SP J.M Smucker Co New		6/13/2018												

11421

## SCHEDULE B - TRANSACTIONS

Name: George J. (Mike) Kelly

12021

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,														
JT														
SP Chevron Corporation	PURCHASE	7/2/2018	X	\$1 - \$1,000										
SP Chevron Corporation	SALE	6/13/2018	X	\$1,001-\$15,000										
SP Microsoft Corp		7/2/2018	X	\$15,001-\$50,000										
SP Microsoft Corp		7/3/2018	X	\$50,001-\$100,000										
SP Danaher Corp		6/15/2018	X	\$100,001-\$250,000										
SP US Foods Holding Corp		6/13/2018	X	\$250,001-\$500,000										
SP US Foods Holding Corp		6/14/2018	X	\$500,001-\$1,000,000										
SP US Foods Holding Corp		6/15/2018	X	\$1,000,001-\$5,000,000										
SP US Foods Holding Corp		6/18/2018	X	\$5,000,001-\$25,000,000										
SP Lower Neches Vly TX Auth Indl		7/26/2018	X	\$25,000,001-\$50,000,000										
SP Bank of America Corp		7/27/2018	X	Over \$50,000,00										
SP Costco Wholesale Corp		7/27/2018	X	Over \$1,000,000 (Spouse/DC Asset)										
SP CSX Corp		7/27/2018	X											
SP Eli Lilly & Co		7/13/2018	X											
SP Eli Lilly & Co		7/16/2018	X											
SP McCormick & Co		7/13/2018	X											
SP McCormick & Co		7/16/2018	X											
SP Microsoft Corp		7/2/2018	X											
SP Microsoft Corp		7/3/2018	X											
SP United Technologies		7/13/2018	X											
SP Constellation Brands		7/2/2018	X											
SP Constellation Brands		7/13/2018	X											
SP General Dynamics		7/13/2018	X											

## SCHEDULE B - TRANSACTIONS

Name: George J. (Mike) Kelly

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,														
JT														
SP	General Dynamics													
SP	Parker Hannifin		X											
SP	Parker Hannifin			X										
SP	State St. Corp			X										
SP	Thermo Fisher Scientific Inc				X									
SP	Thermo Fisher Scientific Inc					X								
SP	Tyson Foods Inc					X								
SP	Tyson Foods Inc						X							
SP	Illinois State Ser A							X						
SP	Facebook Inc								X					
SP	Johnson & Johnson									X				
SP	Royal Dutch Shell PLC										X			
SP	Royal Dutch Shell PLC											X		
SP	Archer Daniels Midland											X		
SP	Archer Daniels Midland												X	
SP	First Data Corp												X	
SP	First Data Corp													X
SP	Suncor Energy Inc													X
SP	Suncor Energy Inc													X
SP	Suncor Energy Inc													X

13421

## SCHEDULE B - TRANSACTIONS

144-21

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,														
JT														
SP	Wellcare Health Plans	X	\$1 - \$1,000											
SP	Wellcare Health Plans	X	\$1,001-\$15,000											
SP	Wellcare Health Plans	X	\$15,001-\$50,000											
SP	Disney Walt Co	X	\$50,001-\$100,000											
SP	LAM research corp	X	\$100,001-\$250,000											
SP	Mariott International Inc	X	\$250,001-\$500,000											
SP	Mariott International Inc	X	\$500,001-\$1,000,000											
SP	Stryker Corp	X	\$1,000,001-\$5,000,000											
SP	Bristol Myers Squibb Co	X	\$5,000,001-\$25,000,000											
SP	Bristol Myers Squibb Co	X	\$25,000,001-\$50,000,000											
SP	CSX Corp	X	Over \$50,000,00											
SP	Microsoft Corp	X	Over \$1,000,000 (Spouse/DC Asset)											
SP	United Rntals Inc	X												
SP	Harbor International Fund Cls C Ins	X												
SP	Artisan Intl Fund	X												
SP	Dodge Cox Intl Stk Fd	X												
SP	Harding Loewner Emerg Mkts Portfol Fd	X												
SP	Denver City & Cnty CO Apt Sys SR A Rev 5% due 11/15/23	X												
SP	Applied Materials Inc	X												
SP	Applied Materials Inc	X												
SP	Applied Materials Inc	X												
SP	Applied Materials Inc	X												

## SCHEDULE B - TRANSACTIONS

		Name: George J. (Mike) Kelly														
		Asset	Type of Transaction	Amount of Transaction												
				Date	A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,	JT															
SP	Dow DuPont Inc			10/25/2018	X											
SP	US foods holding corp			10/11/2018	X											
SP	Autozone inc			10/11/2018	X											
SP	Celanese corp series A			10/25/2018	X											
SP	Celanese corp series A			10/26/2018	X											
SP	Cisco Systems			10/25/2018	X											
SP	Comcast Corp CIA			10/11/2018	X											
SP	McCormick & Co			10/11/2018	X											
SP	Proctor & Gamble Co			10/26/2018	X											
SP	Proctor & Gamble Co			10/25/2018	X											
SP	California ST GO Call 12/1/27			12/3/2018	X											
SP	Anphenol Corp			11/2/2018	X											
SP	Dollar General Corp			11/8/2018	X											
SP	Intel Corp			11/2/2018	X											
SP	Microsoft Corp			11/2/2018	X											
SP	Norfolk Southern Corp			11/16/2018	X											
SP	Royal Caribbean Cruises Ltd			11/8/2018	X											
SP	Royal Caribbean Cruises Ltd			11/9/2018	X											
SP	Fed Ex Corporation			11/16/2018	X											
SP	First Data Corp			11/2/2018	X											
SP	First Data Corp			11/5/2018	X											

15921

## SCHEDULE B - TRANSACTIONS

16921

SP, DC,	Asset	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
JT													
SP	First Data Corp	PURCHASE											
SP	Texas Instruments Inc	SALE											
SP	United Technologies	EXCHANGE											
SP	Suncor Energy Inc												
SP	Suncor Energy Inc		Date										
SP	Duke Energy Hldg		1/1/6/2018	\$1 - \$1,000									
SP	Duke Energy Hldg		X										
SP	Duke Energy Hldg		11/2/2018		\$1,001-\$15,000								
SP	Duke Energy Hldg		X										
SP	Norfolk Southern Corp		12/13/2018			\$15,001-\$50,000							
SP	Proctor & Gamble co		X										
SP	New Jersey St Turnpike Auth Ser A - 5% due 1/1/27		12/14/2018			\$50,001-\$100,000							
SP	Borg Warner Inc		X										
SP	Borg Warner Inc		12/13/2018			\$100,001-\$250,000							
SP	Borg Warner Inc		X										
SP	Borg Warner Inc		12/13/2018			\$250,001-\$500,000							
SP	Borg Warner Inc		X										
SP	Borg Warner Inc		10/11/2018			\$500,001-\$1,000,000							
SP	Borg Warner Inc		X										
SP	Borg Warner Inc		10/12/2018			\$1,000,001-\$5,000,000							
SP	Borg Warner Inc		X										
SP	Borg Warner Inc		10/15/2018			\$5,000,001-\$25,000,000							
SP	Borg Warner Inc		X										
SP	Johnson & Johnson		10/16/2018			\$25,000,001-\$50,000,000							
SP	Johnson & Johnson		X										
SP	Lauder Esteem Cos Inc		8/16/2018			Over \$50,000,00							
SP	Lauder Esteem Cos Inc		X										
SP	Transcanada Corp		8/17/2018			Over \$1,000,000 (Spouse/DC Asset)							
SP	Transcanada Corp		X										
SP	Myovant Sciences Ltd		1/22/2018										
SP	Myovant Sciences Ltd		X										
SP	Myovant Sciences Ltd		9/21/2018										
SP	Myovant Sciences Ltd		X										

17921

## SCHEDULE B - TRANSACTIONS

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
			Check Box If Capital Gain Exceeded \$200											
JT														
SP	AQR Equity Markt Neutral	X	9/21/2018	\$1 - \$1,000										
SP	Blackrock Strategic Municipal Opportunites Fund	X	9/21/2018	\$1,001-\$15,000										
SP	Carlyle Group LP	X	9/21/2018	\$15,001-\$50,000										
SP	Diamond Hill Long-Short Fund	X	9/21/2018	\$50,001-\$100,000										
SP	Eaton Vance Global Macro Absolute Return Fund	X	9/21/2018	\$100,001-\$250,000										
SP	Goldman Sachs Absolute Return Tracker Fund Class I	X	9/21/2018	\$250,001-\$500,000										
SP	Iazard global listed infrastructure portfolio	X	9/21/2018	\$500,001-\$1,000,000										
SP	T Rowe Price Value fd Inc	X	9/21/2018	\$1,000,001-\$5,000,000										
SP	SPDR Nuveen Bloomberg Barclays Short Term Muni Bond	X	9/21/2018	\$5,000,001-\$25,000,000										
SP	Vanguard Mid Cap ETF	X	8/13/2018	\$25,000,001-\$50,000,000										
SP	Vanguard Mid Cap ETF	X	9/21/2018	Over \$50,000,00										
SP	Vanguard Growth ETF	X	9/21/2018	Over \$1,000,000 (Spouse/DC Asset)										
SP	Vanguard Small Cap ETF	X	8/13/2018											
SP	Vanguard Small Cap ETF	X	9/21/2018											
SP	American Funds 2015 Target Date Fund R	X	6/18/2018											
SP	American Funds 2025 Target Date Fund R	X	6/15/2018											
SP	Putnam Retirement Advantage 2020 I	X	6/15/2018											
	American Funds EuroPacific Gr R3	X	6/15/2018											
	American Funds Invest Co of America R3	X	6/15/2018											
	American Funds Washington Mutual R3	X	6/15/2018											

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

SCHEDULE B - TRANSACTIONS																				
Name: George J. (Mike) Kelly																				
JT	SP, DC,	Asset	Type of Transaction		Date	Amount of Transaction														
			PURCHASE	SALE		A	B	C	D	E	F	G	H	I	J	K	L			
			EXCHANGE																	
Check Box If Capital Gain Exceeded \$200																				

**SCHEDULE C – EARNED INCOME**Name: **GEORGE T (MIKE) KELLY**, Page 19 of 21

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME:** The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$0,000
State of Maryland	Legislative Pension	\$18,000
Civil War Reenactors (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	NA

**KELLY CHEVROLET CHEMUNG, INC. BUNER, PA** spouse salary **N/A**  
**KELLY CHEVROLET CHEMUNG, INC., BUTLER, PA** salary **23842**

## SCHEDULE D – LIABILITIES

Name: George J. (Mike) Kelly

Page 20 of 21

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability								
				A	B	C	D	E	F	G	H	I
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE	\$10,001-\$15,000								
				\$15,001-\$50,000								
				\$50,001-\$100,000								
				\$100,001-\$250,000								
				\$250,001-\$500,000								
				\$500,001-\$1,000,000								
				\$1,000,001-\$5,000,000								
				\$5,000,001-\$25,000,000								
				\$25,000,001-\$50,000,000								
				Over \$50,000,000								
				Over \$1,000,000* (Spouse/DC Liability)								

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
PRESIDENT	KELLY CREVAGE ENTERPRISE, INC
PRESIDENT	MIKE KELLY HYUNDAI, INC
PRESIDENT	MIKE KELLY AUTOMOTIVE
BOARD MEMBER EMERITUS	THE MARKMUN MUSEUM
BOARD MEMBER EMERITUS	HYUNDAI HOPE ON WHEELS

Use additional sheets if more space is required.

## **SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS**

Name: GEORGE T (mike) KELLY JR

Page 27 of 27

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure-Destination-City of Return	Lodging (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MECEA)  Habitat for Humanity (charity fundraiser)	Aug 6-11  Mar. 3-4	DC-Beijing China-DC  DC-Boston-DC	Y  Y	Y  Y	N  Y
<b>THE RISON SOCIETY + THE FARMER CENTER FOR GLOBAL POLICY</b> exp NOV 8-13 DC - PARIS, FRANCE - DC		✓  ✓	✓  ✓		

Use additional sheets if more space is required.